

Customized FORM PTO-1390 (Rev 09-2007)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY DOCKET NO. P08967US00/BAS
		US APPL. NO. (If known, see 37CFR 1.5) 10/586,302
INTERNATIONAL APPLICATION NO. PCT/AU05/00045	INTERNATIONAL FILING DATE 14 January 2005	PRIORITY DATE CLAIMED January 15, 2004
TITLE OF INVENTION: MULTI-NUCLEAR METAL COMPLEXES PARTIALLY ENCAPSULATED BY....		
APPLICANT(S) FOR DO/EO/US: WHEATE		

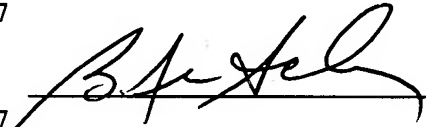
Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- ☐ 3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ 4. The US has been elected (Article 31).
- ☐ 5. A **copy** of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☐ a. is attached hereto (required only if not communicated by the International Bureau).
  - ☐ b. has been communicated by the International Bureau
  - ☐ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ 6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - ☐ a. is attached hereto.
  - ☐ b. has been previously submitted under 35 U.S.C. 154(d)(4).
  - ☐ c. is not needed since the International Application is in English.
- ☐ 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371(c)(3))
  - ☐ a. are attached hereto (required only if not communicated by the International Bureau).
  - ☐ b. have been communicated by the International Bureau.
  - ☐ c. have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ d. have not been made and will not be made.
- ☐ 8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ 10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)).

**Items 11. to 20. below concern document(s) or information included:**

- ☐ 11. An **Information Disclosure Statement** under 37 C.F.R. 1.97 and 1.98.
- ☐ 12. An **Assignment** document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included.
- ☐ 13. A **preliminary amendment**.
- ☐ 14. An **Application Data Sheet** under 37 CFR 1.76.
- ☐ 15. A **substitute specification**.
- ☐ 16. A **power of attorney** and/or **change of address letter**.
- ☐ 17. A **computer-readable form** of the sequence listing in accordance with PCT Rule 13ter.2 & 37 CFR 1.821-825.
- ☐ 18. A **second copy** of the published international application under 35 USC 154(d)(4).
- ☐ 19. A **second copy** of the English translation of the international application under 35 USC 154(d)(4).
- 20. **Other items or information:**
  - ☐ Return Receipt Postcard.
  - ☒ A copy of the Notification of Missing Requirements under 35 U.S.C. 371.
  - ☒ In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 21(c).
  - ☐

Date: November 27, 2007

U.S. APPLICATION NO. <i>(If known)</i> 10/586,302		INTERNATIONAL APPLICATION NO. PCT/AU05/00045		ATTORNEY DOCKET NO. P08967US00/BAS	
<b>The following fees have been submitted:</b>				CALCULATIONS	PTO USE ONLY
<input type="checkbox"/> 21. Basic national fee (37 CFR 1.492(a)) \$310				\$	
<input type="checkbox"/> 22. Examination fee (37 CFR 1.492(c))					
<input type="checkbox"/> Written Opinion or IPER by US indicates all claims satisfy PCT Art. 33(1-4) \$0				\$	
<input type="checkbox"/> All other situations \$210					
<input type="checkbox"/> 23. Search fee					
<input type="checkbox"/> Written Opinion or IPER by US indicates all claims satisfy PCT Art. 33(1-4) \$0					
<input type="checkbox"/> Has been paid on the Int'l Appln. to the USPTO as ISA \$100				\$	
<input type="checkbox"/> International Search Report prepared and provided to the Office \$410					
<input type="checkbox"/> All other situations \$510					
<b>TOTAL OF 21, 22 AND 23</b>				\$	
<input type="checkbox"/> SIZE FEE: sheets - 100 = ÷ 50 = [rounded up] × \$260 =				\$	
<input checked="" type="checkbox"/> Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)) \$130				\$ 130	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	35 - 20 =	15	X \$50 =	\$ 750	
Independent Claims	4 - 03 =	1	X \$210 =	\$ 210	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+ \$370 =	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1090	
<input type="checkbox"/> Applicant claims small entity status - 37 CFR 1.27. Fees above reduced by ½.				\$	
<b>SUBTOTAL =</b>				\$ 1090	
<input type="checkbox"/> Processing fee for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) \$130				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1090	
<input type="checkbox"/> Fee for recording enclosed assignment (37 CFR 1.21(h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1090	
				<b>Refunded</b>	\$
				<b>Charged</b>	\$
<input checked="" type="checkbox"/> Payment of \$ 1,090 is made by attached Credit Card Payment Form (PTO-2038) <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required for this submission (except claims fees if the box below is checked) or credit any overpayment to Deposit Account No. 12-0555. <input type="checkbox"/> Do not charge any claim fees now - any additional claims fees will be paid in response to a Missing Requirements, at which time the claims fees required may be reduced by a preliminary amendment.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
POWER OF ATTORNEY & CORRESPONDENCE ADDRESS:  <b>CUSTOMER NO. 00881</b>  Date: November 27, 2007			NAME: B. Aaron Schulman REG. NO.: 31877  Signed (for) by:  REG. NO.: 31877		



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/586,302	Nial Joseph WHEATE	P08967US00/BAS

881  
STITES & HARBISON PLLC  
1199 NORTH FAIRFAX STREET  
SUITE 900  
ALEXANDRIA, VA 22314

RECEIVED

OCT 23 2007

STITES & HARBISON, PLLC

INTERNATIONAL APPLICATION NO.	
PCT/AU05/00045	
I.A. FILING DATE	PRIORITY DATE
01/14/2005	01/15/2004

CONFIRMATION NO: 2690  
371 FORMALITIES LETTER



Date Mailed: 10/22/2007

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 07/14/2006
- Copy of the International Search Report filed on 07/14/2006
- Copy of IPE Report filed on 07/14/2006
- Preliminary Amendments filed on 07/14/2006
- U.S. Basic National Fees filed on 07/14/2006
- Priority Documents filed on 07/14/2006

12-23-2007

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$960 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$1090 for a Large Entity:

- \$130 Surcharge.

Total additional claim fee(s) for this application is \$960

- \$210 for 1 independent claims over 3.
- \$750 for 15 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

SHAKEEL AHMED

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Telephone: (703) 308-9140 EXT 208

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